University of Puerto Rico  
Disclosure of Investigator’s Significant Financial Interest  
FORM 1.B  

TO BE FILED BY ALL RESEARCHERS WITH SIGNIFICANT CONFLICT OF INTEREST

Subpart F: Promoting Objectivity in Research

Date of this Disclosure: ____________________ New ☐ Update ☐

If you answered Yes to any of the questions on the Disclosure of Investigator’s Financial Interest (FORM A) you have a significant financial interest in an entity and should file this FORM B to be used by the Financial Conflict of Interest (FCOI) institutional officer to determine if such a financial conflict of interest may bias the result of your scientific research funded by the United States Public Health Service and to establish any pertinent actions to mitigate or eliminate the effect of such conflict in the results of the research.

Investigator ☐ collaborator ☐ or consultant ☐ at University of Puerto Rico ____________ Campus.

<table>
<thead>
<tr>
<th>First Name</th>
<th>Middle Initial</th>
<th>Last Name</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Office phone: __________________________ E-mail address: __________________________

Please use a separate Disclosure Form B for each entity where you have a significant financial interest as per the above referred regulation.

1. External Entity Type: Publicly Traded ☐ or Non-Publicly Traded ☐

2. Name of External Entity:

3. Physical Address:

4. Indicate, name of principal official or contact person, telephone number and e-mail address of the Institutional Officer at the Entity:

   Name of principal official or contact person: __________________________

   Telephone number: __________________________ Fax number: __________________________

   E-mail address: __________________________

5. Explain the nature of your significant financial interest in this entity (equity, consulting fees, travel reimbursement, honoraria, etc.) at present and/or for the last twelve months preceding the date of this disclosure. Please use assigned letter (A, B, C, D, or E) based on the ranges as follows:

   A. $0-$4,999;
   B. $5,000-$9,999;
   C. $10,000-$19,999;
   D. amounts between $20,000-$100,000 in increments of $20,000; or
   E. amounts above $100,000 in increments of $50,000.

Prepared August 2012
University of Puerto Rico  
Disclosure of Investigator’s Significant Financial Interest  
FORM 1.B

<table>
<thead>
<tr>
<th>NATURE OF YOUR SIGNIFICANT FINANCIAL INTEREST IN THIS ENTITY</th>
<th>RANGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Remuneration received in the form of salary or payment for services, such as consulting fees, honoraria, paid authorship, or other supplemental income:</td>
<td></td>
</tr>
<tr>
<td>b. Equity interest, including any stock, stock option, or other ownership interest:</td>
<td></td>
</tr>
<tr>
<td>c. Not excluded intellectual property rights and interests:</td>
<td></td>
</tr>
<tr>
<td>d. Fiduciary role (executive role, voting member of the board, etc., even if unpaid:</td>
<td></td>
</tr>
<tr>
<td>e. Not excluded travel, during the past twelve months, reimbursed or sponsored on your behalf by the external entity. Indicate purpose, destination and duration of the travel:</td>
<td></td>
</tr>
</tbody>
</table>

6. Other information that may help to explain the nature of your significant financial interest in the external Entity:

7. Describe how the significant financial interest may relate to the PHS funded research under consideration:

I agree to abide by the University of Puerto Rico’s Policy and Guidelines on Financial Conflict of Interest. I certify that the above information is true to the best of my knowledge and that it has been submitted as required by law, regulation, contract, and by 42 CFR Part 50 Subpart F. I understand and agree that if there is any change in my financial status, I must submit a new disclosure and attachment within 30 days of that change.

Print name: ____________________________

Signature: ____________________________  Date: ___________________